FF 2176#



## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	) Group Art Unit: 2176
Darryl E. Rubin et al.	) Examiner: Nguyen, M.
Serial Number: 09/457,109	) Attorney Docket No. 003797.81487
Filed: 12/07/1999	) Confirmation No. 7310
For: COMPUTER USER INTERFACE ARCHITECTURE WHEREIN BOTH CONTENT AND USER INTERFACE	

## INFORMATION DISCLOSURE STATEMENT

U.S. Patent and Trademark Office Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314

WITH LINKS

ARE COMPOSED OF DOCUMENTS

Sir:

In accordance with 37 C.F.R. §1.97 and §1.98, enclosed are PTO/SB/08a forms listing art for consideration by the Examiner.

The reference listed in this information disclosure statement was cited by the Examiner in co-pending application U.S. Serial No. 09/768,813 not more than three months prior to the filing of this Information Disclosure Statement.

The submission of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Information Disclosure Statement

Serial No. 09/457,109

Page 2

Consideration of all information is respectfully requested.

The undersigned certifies under 37 C.F.R. § 1.97(e)(2) that no item of information

contained in the information disclosure statement was cited in a communication from a

foreign patent office in a counterpart foreign application, and, to the knowledge of the

undersigned after making reasonable inquiry, no item of information contained in the

information disclosure statement was known to any individual designated in 37 C.F.R. §

1.56(c) more than three months prior to the filing of the information disclosure statement.

The accompanying Information Disclosure Statement is being filed after the

mailing date of a final Office Action on the merits. Therefore, authorization is given to

charge Deposit Account No. 19-0733 for the \$180.00 fee. In the event there is a

discrepancy with the fee, please charge or credit Deposit Account No. 19-0733. Further,

the Commissioner is authorized to charge any additional fees that may be required or

credit any overpayment to our Deposit Account No. 19-0733.

It is respectfully requested that the Examiner fully consider the document, initial

the enclosed form in the appropriate place to indicate that the document have been

considered, and return a copy of the initialed form to the undersigned in accordance with

MPEP Section 609.

Respectfully submitted,

Date: February 23, 2006

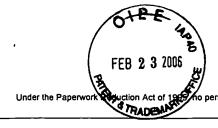
By: /Paul M. Rivard/

Paul M. Rivard

Registration No. 43,446

Banner & Witcoff, LTD 1001 G Street, N.W. Washington, D.C. 20001-4597

(202) 824-3000



PTO/SB/08a (08-03)

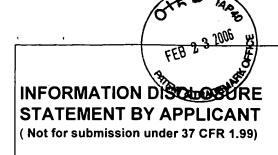
Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
/ Not for outmission under 27 CED 4 00\

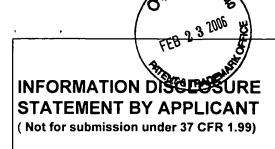
Application Number		09457109		
Filing Date		1999-12-07		
First Named Inventor	Darr	ryl Rubin		
Art Unit		2176		
Examiner Name	M. N	Nguyen		
Attorney Docket Numb	per	003797.81487		

					U.S.	PATENTS				
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue C	Pate	Name of Pate of cited Docu	entee or Applicant iment	Rele	es,Columns,Lines where vant Passages or Relev res Appear	
	1	6272484	B1.	2001-08	3-07	Martin et al.				
If you wisl	h to a	dd additional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.	l		
			U.S.P	ATENT	APPLI	CATION PUB	LICATIONS			
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publica Date	ition	Name of Pate of cited Docu	entee or Applicant iment	Rele	es,Columns,Lines where vant Passages or Relev res Appear	
	1									
If you wisl	h to a	dd additional U.S. Publi	shed Ap	plication	citatio	n information p	olease click the Ad	d butte	on.	
				FOREIG	SN PAT	TENT DOCUM	IENTS			
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country	y Code²	Kind Code <sup>4</sup>	Publication Date	Name of Patente Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
	1									
	2									
If you wisl	h to a	dd additional Foreign Pa	atent Do	cument	citation	information p	lease click the Add	butto	n	
			NON	I-PATEN	NT LITE	RATURE DO	CUMENTS			



Application Number		09457109
Filing Date		1999-12-07
First Named Inventor	Darry	Rubin
Art Unit		2176
Examiner Name M. No		uyen
Attorney Docket Numb	er	003797.81487

Examiner Initials*  Cite No  Cite No  Cite No  Cite No  Cite No  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.					
	1				
If you wisl	n to ac	 dd additional non-patent literature docume	nt citation information please click the Add button	<u></u>	
		EXAM	INER SIGNATURE		
Examiner	Signa	ature	Date Considered		
			ot citation is in conformance with MPEP 609. Draw line through a copy of this form with next communication to applicant.		
Standard ST  4 Kind of doo	7.3). <sup>3</sup> F zument	For Japanese patent documents, the indication of the	or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (V e year of the reign of the Emperor must precede the serial number of the patent do Iment under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check man	cument.	



Application Number	•	09457109	
Filing Date		1999-12-07	
First Named Inventor	Darry	yl Rubin	
Art Unit		2176	
Examiner Name	M. N	guyen	
Attorney Docket Numb	er	003797.81487	

	CERTIFICATION STATEMENT								
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):								
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.								
OR									
	foreign patent of after making rea	information contained in the information dis ffice in a counterpart foreign application, and sonable inquiry, no item of information conta esignated in 37 CFR 1.56(c) more than thre	d, to the knowledge of the ined in the information dis	person signing the certification closure statement was known to					
X	See attached ce	rtification statement.							
X	Fee set forth in 3	7 CFR 1.17 (p) has been submitted herewith							
	None								
	SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.								
Sign	ature	/Paul M. Rivard/	Date (YYYY-MM-DD)	2006-02-23					
Nam	ne/Print	Paul M. Rivard	Registration Number	43,446					

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 



## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a
  request involving an individual, to whom the record pertains, when the individual has requested assistance from the
  Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Fee Paid (\$)

Fees Paid (\$)

180

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Eff Fees pursuant to the Cons							PE 140
FEE TI	RANS	SMITTAL	A	pplication Number	09/457,10	9 ,	(O) (O)
for FY 2005			F	iling Date	12/07/19	99	23700 8
			Fi	irst Named Inventor	Darryl E.	Rubin et al.	FEB
Applicant claims sma	II entity sta	tus. See 37 CFR 1.2	27 E	xaminer Name	Nguyen,		TON A TRADE
TOTAL AMOUNT OF PAYMENT (\$) 180		А	rt Unit	2176			
		(\$) 180	A	Attorney Docket No. 003797.8			
METHOD OF PAYMEN	T (check a	ill that apply)					
☐ Check ☐ Credit Ca	rd 🔲 Mo	ney Order 🔲 None	e 🗌 Othei	r (please identify)			
Deposit Account Dep	oosit Accour	nt Number: 19-073	3	Deposit Accoun	t Name: Bai	nner & Witco	off, LTD.
		osit account, the Dire		-			
	•			·	•		t for the filing fee
WARNING: Information on th	CFR 1.16 a		card informa	ition should not be in	cluded on this	form. Provide cr	edit card information and
WARNING: Information on the authorization on PTO-2038. FEE CALCULATION	is form may	become public. Credit		ition should not be in	cluded on this	form. Provide cr	edit card information and
WARNING: Information on the authorization on PTO-2038. FEE CALCULATION	is form may	become public. Credit	FEES	tion should not be in		form. Provide cr	edit card information and
WARNING: Information on the authorization on PTO-2038.  FEE CALCULATION  1. BASIC FILING, SEA	ARCH, AN	D EXAMINATION FEES Small Entity	FEES SEARC	H FEES Small Entity	EXAMIN	ATION FEES Small Entity	
WARNING: Information on the authorization on PTO-2038.  FEE CALCULATION  1. BASIC FILING, SEA  Application Type	ARCH, AN FILING Fee (\$)	D EXAMINATION FEES Small Entity Fee(\$)	FEES SEARC <u>Fee(\$)</u>	H FEES Small Entity Fee(\$)	EXAMIN Fee(\$)	ATION FEES Small Entity Fee(\$)	edit card information and
WARNING: Information on the authorization on PTO-2038.  FEE CALCULATION  1. BASIC FILING, SEA  Application Type  Utility	ARCH, AN FILING Fee (\$) 300	D EXAMINATION FEES Small Entity Fee(\$) 150	FEES SEARC Fee(\$) 500	H FEES Small Entity Fee(\$) 250	EXAMIN Fee(\$) 200	ATION FEES Small Entity Fee(\$) 100	
WARNING: Information on the authorization on PTO-2038.  FEE CALCULATION  1. BASIC FILING, SEA  Application Type Utility Design	ARCH, AN FILING  Fee (\$) 300 200	D EXAMINATION FEES Small Entity Fee(\$) 150 100	FEES SEARC <u>Fee(\$)</u> 500 100	H FEES Small Entity Fee(\$) 250 50	EXAMIN Fee(\$) 200 130	ATION FEES Small Entity Fee(\$) 100 65	
WARNING: Information on the authorization on PTO-2038.  FEE CALCULATION  1. BASIC FILING, SEA  Application Type Utility Design Plant	ARCH, AN FILING Fee (\$) 300 200	D EXAMINATION FEES Small Entity Fee(\$) 150 100	FEES SEARC <u>Fee(\$)</u> 500 100 300	H FEES  Small Entity Fee(\$) 250 50 150	EXAMIN Fee(\$) 200 130 160	ATION FEES Small Entity Fee(\$) 100 65 80	
WARNING: Information on the authorization on PTO-2038.  FEE CALCULATION  1. BASIC FILING, SEA  Application Type Utility Design Plant Reissue	ARCH, AN FILING  Fee (\$) 300 200	D EXAMINATION FEES Small Entity Fee(\$) 150 100	FEES SEARC <u>Fee(\$)</u> 500 100	H FEES Small Entity Fee(\$) 250 50	EXAMIN Fee(\$) 200 130	ATION FEES Small Entity Fee(\$) 100 65	
WARNING: Information on the authorization on PTO-2038.  FEE CALCULATION  1. BASIC FILING, SEA  Application Type Utility Design Plant Reissue Provisional	ARCH, AN FILING  Fee (\$) 300 200 200 300 200	D EXAMINATION FEES Small Entity Fee(\$) 150 100 100 150	FEES SEARC Fee(\$) 500 100 300 500	H FEES <u>Small Entity</u> <u>Fee(\$)</u> 250  50  150  250	EXAMIN Fee(\$) 200 130 160 600	ATION FEES Small Entity Fee(\$) 100 65 80 300	Fees Paid (\$)
WARNING: Information on the authorization on PTO-2038.  FEE CALCULATION  1. BASIC FILING, SEA  Application Type Utility Design Plant Reissue Provisional	ARCH, AN FILING  Fee (\$) 300 200 200 300 200	D EXAMINATION FEES Small Entity Fee(\$) 150 100 100 150	FEES SEARC Fee(\$) 500 100 300 500	H FEES <u>Small Entity</u> <u>Fee(\$)</u> 250  50  150  250	EXAMIN Fee(\$) 200 130 160 600	ATION FEES Small Entity Fee(\$) 100 65 80 300	
WARNING: Information on the authorization on PTO-2038.  FEE CALCULATION  1. BASIC FILING, SEA  Application Type Utility Design Plant Reissue Provisional  2. EXCESS CLAIM FEE  Fee Description Each claim over 20 (in	ARCH, AN FILING Fee (\$) 300 200 200 300 200 EES	D EXAMINATION FEES Small Entity Fee(\$) 150 100 150 100 ssues)	FEES SEARC Fee(\$) 500 100 300 500	H FEES <u>Small Entity</u> <u>Fee(\$)</u> 250  50  150  250	EXAMIN Fee(\$) 200 130 160 600	ATION FEES Small Entity Fee(\$) 100 65 80 300 0 Fee (\$)	Fees Paid (\$)  Small Entity Fee (\$) 25
WARNING: Information on the authorization on PTO-2038.  FEE CALCULATION  1. BASIC FILING, SEA  Application Type Utility Design Plant Reissue Provisional  2. EXCESS CLAIM FE  Fee Description Each claim over 20 (in Each independent clair	ARCH, AN FILING Fee (\$) 300 200 200 300 200 EES cluding Rei n over 3 (in	D EXAMINATION FEES Small Entity Fee(\$) 150 100 150 100 ssues)	FEES SEARC Fee(\$) 500 100 300 500	H FEES <u>Small Entity</u> <u>Fee(\$)</u> 250  50  150  250	EXAMIN Fee(\$) 200 130 160 600	ATION FEES Small Entity Fee(\$) 100 65 80 300 0 Fee (\$) 50 200	Fees Paid (\$) Small Entity Fee (\$) 25 100
WARNING: Information on the authorization on PTO-2038.  FEE CALCULATION  1. BASIC FILING, SEA  Application Type Utility Design Plant Reissue Provisional  2. EXCESS CLAIM FE  Fee Description Each claim over 20 (in Each independent claim Multiple dependent claim	ARCH, AN FILING Fee (\$) 300 200 200 300 200 EES cluding Rei n over 3 (in ims	D EXAMINATION FEES Small Entity Fee(\$) 150 100 150 100 ssues) cluding Reissues)	FEES SEARC 500 100 300 500 0	H FEES  Small Entity  Fee(\$)  250  50  150  250  0	EXAMIN Fee(\$) 200 130 160 600	ATION FEES Small Entity Fee(\$) 100 65 80 300 0  Fee (\$) 50 200 360	Fees Paid (\$) Small Entity Fee (\$) 25 100 180
WARNING: Information on the authorization on PTO-2038.  FEE CALCULATION  1. BASIC FILING, SEA  Application Type Utility Design Plant Reissue Provisional  2. EXCESS CLAIM FEE  Fee Description Each claim over 20 (in Each independent claim	ARCH, AN FILING Fee (\$) 300 200 200 300 200 EES cluding Rei n over 3 (in ims Extra (	D EXAMINATION FEES Small Entity Fee(\$) 150 100 150 100 ssues) cluding Reissues)	FEES SEARC 500 100 300 500 0	H FEES <u>Small Entity</u> <u>Fee(\$)</u> 250  50  150  250	EXAMIN Fee(\$) 200 130 160 600	ATION FEES Small Entity Fee(\$) 100 65 80 300 0  Fee (\$) 50 200 360	Fees Paid (\$)  Small Entity  Fee (\$) 25 100 180  Dependent Claims
WARNING: Information on the authorization on PTO-2038.  FEE CALCULATION  1. BASIC FILING, SEA  Application Type Utility Design Plant Reissue Provisional  2. EXCESS CLAIM FEE  Fee Description Each claim over 20 (in Each independent claim Multiple dependent claim Total Claims  - 20 or HF	ARCH, AN FILING Fee (\$) 300 200 200 300 200 EES cluding Rei n over 3 (in ims Extra (	D EXAMINATION FEES Small Entity Fee(\$) 150 100 100 150 100 ssues) cluding Reissues)	FEES SEARCE   Fee(\$)   500   100   300   500   0	H FEES  Small Entity  Fee(\$)  250  50  150  250  0	EXAMIN Fee(\$) 200 130 160 600	ATION FEES Small Entity Fee(\$) 100 65 80 300 0  Fee (\$) 50 200 360 Multiple	Fees Paid (\$)  Small Entity Fee (\$) 25 100 180  Dependent Claims
WARNING: Information on the authorization on PTO-2038.  FEE CALCULATION  1. BASIC FILING, SEA  Application Type Utility Design Plant Reissue Provisional  2. EXCESS CLAIM FEE  Fee Description Each claim over 20 (in Each independent claim Multiple dependent claim Total Claims  - 20 or HF	ARCH, AN FILING Fee (\$) 300 200 200 300 200 EES cluding Rei n over 3 (in ims Extra (	D EXAMINATION FEES Small Entity Fee(\$) 150 100 100 150 100 Ssues) cluding Reissues) Claims Fee(\$)  X aid for, if greater than 20	FEES SEARCE   Fee(\$)   500   100   300   500   0	H FEES  Small Entity  Fee(\$)  250  50  150  250  0	EXAMIN Fee(\$) 200 130 160 600	ATION FEES Small Entity Fee(\$) 100 65 80 300 0  Fee (\$) 50 200 360 Multiple	Fees Paid (\$)  Small Entity Fee (\$) 25 100 180  Dependent Claims

SUBMITTED BY					
Signature	/Paul M. Rivard/	Registration No. (Attorney/Agent)	43,446	Telephone	(202) 824-3000
Name (Print/Type)	Paul M. Rivard			Date	02/23/2006

\_\_\_\_\_ (round up to a whole number) x

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer

sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Other (e.g., late filing surcharge): 1806 Submission of Information Disclosur eStatement

/ 50 =

Non-English Specification, \$130 fee (no small entity discount)

listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50

HP = highest number of independent claims paid for, if greater than 3.

Total Sheets Extra Sheets

- 100 = \_\_\_\_\_

3. APPLICATION SIZE FEE

4. OTHER FEE(S)

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Number of each additional 50 or fraction thereof Fee (\$)